

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application of )

Satoshi TOMIOKA )

Serial No. 09/842,022 )

Filed: April 26, 2001 )

For: METHOD OF FORMING )  
SUBSTRATE )

Attn: Applications Branch

**RESPONSE TO NOTICE AND  
SUBMISSION OF EXECUTED DECLARATION**Assistant Commissioner for Patents  
Washington, D.C. 20231

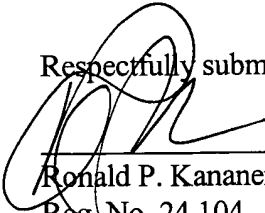
Sir:

In response to the Notice to File Missing Parts of Application mailed June 20, 2001 (copy of which is returned herewith), attached hereto is a Declaration and Power of Attorney, duly executed by the inventor, referring to the above-identified serial number and filing date in compliance with 37 CFR §1.116. Please charge Deposit Account No. 18-0013 in the amount of \$130.00 in payment of the surcharge for other than a small entity.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

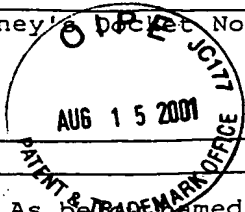
Dated: August 15, 2001

  
\_\_\_\_\_  
Ronald P. Kananen  
Reg. No. 24,104

Rader, Fishman & Grauer PLLC  
Suite 501  
1233 20<sup>TH</sup> Street, N.W.  
Washington, D.C. 20036  
Telephone: (202) 955-3750

#3

Attorney's Office No. SON-2077



**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**  
English Language Declaration

As ~~Believe~~ named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled  
**METHOD OF FORMING SUBSTRATE**

the specification of which

(check one)

is attached hereto.

X was filed on April 26, 2001 as  
Application Serial No. 09/842,022  
and was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent of inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| Prior Foreign Application(s) |                             |                             | Priority Claimed |
|------------------------------|-----------------------------|-----------------------------|------------------|
| <u>P2000-128325</u>          | <u>Japan</u>                | <u>27/04/2000</u>           | <u>X</u>         |
| (Number)                     | (Country)                   | (Day/Month/Year Filed)      | Yes No           |
| <u>                    </u>  | <u>                    </u> | <u>                    </u> | Yes No           |
| (Number)                     | (Country)                   | (Day/Month/Year Filed)      | Yes No           |
| <u>                    </u>  | <u>                    </u> | <u>                    </u> | Yes No           |
| (Number)                     | (Country)                   | (Day/Month/Year Filed)      | Yes No           |

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 and 1.63(d) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| (Application Serial No.) | (Filing Date) | (Status)                       |
|--------------------------|---------------|--------------------------------|
| _____                    | _____         | (patented, pending, abandoned) |

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Attorney's Docket Number: SON-2077

## English Language Declaration

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Ronald P. Kananen, Reg. No. 24,104; Ralph T. Rader, Reg. No. 28,772;  
Michael D. Fishman, Reg. No. 31,951, Richard D. Grauer, Reg. No. 22,388;  
Joseph V. Coppola, Sr., Reg. No. 33,373; Michael B. Stewart, Reg. No.  
36,018; Steven L. Nichols, Registration No. 40,326

Send Correspondence to:

Direct telephone calls to:

Ronald P. Kananen, Esq.  
RADER, FISHMAN & GRAUER  
The Lion Building  
1233 20<sup>th</sup> Street, N.W., Suite 501  
Washington, D.C. 20036

Ronald P. Kananen, Esq.  
(202) 955-3750

|                                    |  |                           |
|------------------------------------|--|---------------------------|
| Full name of first joint inventor  | SATOSHI TOMIOKA  |                           |
| Inventor's signature               | <i>Satoshi Tomioke</i>   | Date <i>July 12, 2001</i> |
| Residence                          | KANAGAWA, JAPAN  |                           |
| Citizenship                        | JAPANESE   |                           |
| Post Office Address                | c/o SONY CORPORATION<br>7-35, KITASHINAGAWA 6-CHOME, SHINAGAWA-KU,<br>TOKYO, JAPAN |                           |
| Full name of second joint inventor |  |                           |
| Second Inventor's signature        |  | Date                      |
| Residence                          |  |                           |
| Citizenship                        | JAPANESE   |                           |
| Post Office Address                | c/o SONY CORPORATION<br>7-35, KITASHINAGAWA 6-CHOME, SHINAGAWA-KU,<br>TOKYO, JAPAN |                           |
| Full name of third joint inventor  |  |                           |
| Third Inventor's signature         |  | Date                      |
| Residence                          |  |                           |
| Citizenship                        | JAPANESE   |                           |
| Post Office Address                | c/o SONY CORPORATION<br>7-35, KITASHINAGAWA 6-CHOME, SHINAGAWA-KU,<br>TOKYO, JAPAN |                           |

(Supply similar information and signature for subsequent joint inventors.)